



Patent
Attorney Docket: 266/067

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of:

Inventors: George B. McDonald, Nicholas Stergiopoulos

Serial No.: 09/928,890

Filed: August 13, 2001

For: "METHOD FOR TREATMENT OF
CANCER BY CONTROLLING GRAFT-
VERSUS-LEUKEMIA REACTION
USING TOPICAL ACTIVE
CORTICOSTEROIDS"

Group Art Unit: Not yet assigned

Examiner: Not yet assigned

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ORIGINALLY FILED

TRANSMITTAL OF MISSING PARTS

Box Missing Parts
Commissioner for Patents
Washington, D.C. 20231

Sir:

I. DOCUMENTS ENCLOSED:

In response to the NOTICE TO FILE MISSING PARTS OF APPLICATION UNDER 37 CFR § 1.53(b), which was mailed by the Patent Office on 11/28/01, enclosed are:

☒ Declaration

☒ Power of Attorney: ☐ Separate or ☒ Combined with Declaration

☒ A copy of the Notice to File Missing Parts of Application under 37 CFR § 1.53(b)

CERTIFICATE OF MAILING
(37 C.F.R. § 1.8a)

I hereby certify that this paper (along with any referred to as being attached or enclosed) is being deposited with the United States Postal Service on the date shown below with sufficient postage as First Class Mail in an envelope addressed to the Commissioner for Patents, Washington, D.C. 20231:

January 25, 2002
Date of Deposit

Russell Morrisette

II. REQUEST FOR EXTENSION OF TIME:

The proceedings herein are for a patent application and the provisions of 37 CFR § 1.136 apply.

- ☐ Applicant(s) petitions for an extension of time under 37 CFR § 1.136 [fees: 37 CFR § 1.17(a)(1)-(5)] for the total number of months checked below:

EXTENSION (months)	FEE FOR SMALL ENTITY	FEE FOR OTHER THAN SMALL ENTITY
<input type="checkbox"/> one month	\$55.00	\$110.00
<input type="checkbox"/> two months	\$195.00	\$390.00
<input type="checkbox"/> three months	\$445.00	\$890.00
<input type="checkbox"/> four months	\$695.00	\$1,390.00
<input type="checkbox"/> five months	\$945.00	\$1,890.00
Fee		\$0.00

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- ☐ If any extension fee is required, please consider this a petition therefor.

III. FILING FEES

- ☒ Applicant claims small entity status pursuant to 37 CFR 1.27.

BASIC FILING FEE:					\$0.00
Total Claims	-	20	=	0 x \$18.00	\$0.00
Independent Claims	-	3	=	0 x \$84.00	\$0.00
Multiple Dependent Claims	\$280	(if applicable)		<input type="checkbox"/>	\$0.00
Surcharge 37 CFR § 1.16(e)	\$130	(if applicable)		<input checked="" type="checkbox"/>	\$130.00
TOTAL OF ABOVE CALCULATIONS					\$130.00
Reduction by ½ for Filing by Small Entity. Note 37 CFR §§ 1.9, 1.27, 1.28.					<input checked="" type="checkbox"/> \$65.00
Extension of Time (from above)					\$0.00
Assignment -- \$40 (if applicable)					<input type="checkbox"/> \$0.00
TOTAL FEES SUBMITTED HEREWITH					\$65.00

IV. METHOD OF PAYMENT OF FEES:

- ☒ A check in the amount of \$65.00 is enclosed to cover the above fee(s).
- ☐ Charge Lyon & Lyon's Deposit Account No. 12-2475 in the amount of \$___.

Patent
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The Commissioner is authorized to charge Lyon & Lyon's Deposit Account No. **12-2475** for any fees required under 37 CFR §§ 1.16, 1.17 and 1.445 that are not covered, in whole or in part, by a check enclosed herewith and to credit any overpayments to said Deposit Account No. **12-2475**.

Respectfully submitted,

LYON & LYON LLP

Dated: January 25, 2002

By: Charles M. Doyle
Charles M. Doyle, Esq.
Reg. No. 39,175



22249

PATENT TRADEMARK OFFICE

LYON & LYON LLP
Suite 4700
633 W. Fifth Street
Los Angeles, CA 90071
(213) 489-1600

PIPE FEE TRANSMITTAL
for FY 2002

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT 0 \$65.00

Complete if Known

Application Number	09/928,890
Filing Date	August 13, 2001
First Named Inventor	George B. McDonald
Examiner Name	N/A
Group / Art Unit	N/A
Attorney Docket No.	266/067

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METHOD OF PAYMENT (check one)		FEE CALCULATION (continued)													
1. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:		3. ADDITIONAL FEES													
Deposit Account Number	12-2475	Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee Paid								
Deposit Account Name	Lyon & Lyon	105	130	205	65	Surcharge - late filing fee or oath	65								
<input checked="" type="checkbox"/> Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17		127	50	227	25	Surcharge - late provisional filing fee or cover sheet.									
<input checked="" type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27		139	130	139	130	Non-English specification									
2. <input checked="" type="checkbox"/> Payment Enclosed:		147	2,520	147	2,520	For filing a request for reexamination									
<input checked="" type="checkbox"/> Check <input type="checkbox"/> Credit card <input type="checkbox"/> Money Order <input type="checkbox"/> Other		112	920*	112	920*	Requesting publication of SIR prior to Examiner action									
FEE CALCULATION		113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action									
1. BASIC FILING FEE		115	110	215	55	Extension for reply within first month									
Large Entity Fee Code	Fee (\$)	116	390	216	195	Extension for reply within second month									
Small Entity Fee Code	Fee (\$)	117	890	217	445	Extension for reply within third month									
Fee Description	Fee Paid	118	1,390	218	695	Extension for reply within fourth month									
101	740	201	370	Utility filing fee		128	1,890	228	945	Extension for reply within fifth month					
106	320	206	160	Design filing fee		119	310	219	155	Notice of Appeal					
107	490	207	245	Plant filing fee		120	310	220	155	Filing a brief in support of an appeal					
108	710	208	355	Reissue filing fee		121	270	221	135	Request for oral hearing					
114	160	214	80	Provisional filing fee		138	1,510	138	1,510	Petition to institute a public use proceeding					
SUBTOTAL (1)					(\$) 0	140	110	240	55	Petition to revive - unavoidable					
2. EXTRA CLAIM FEES						141	1,240	241	620	Petition to revive - unintentional					
Total Claims	0	-20**	=	0	X	0	=	0		142	1,240	242	620	Utility issue fee (or reissue)	
Independent Claims	0	-3**	=	0	X	0	=	0		143	440	243	220	Design issue fee	
Multiple Dependent					X		=	0		144	600	244	300	Plant issue fee	
Large Entity Fee Code	Fee (\$)	Small Entity Fee Code	Fee (\$)	Fee Description	Fee Paid					122	130	122	130	Petitions to the Commissioner	
103	18	203	9	Claims in excess of 20						123	130	123	130	Petitions related to provisional applications	
102	84	202	42	Independent claims in excess of 3						126	180	126	180	Submission of Information Disclosure Stmt	
104	270	204	135	Multiple dependent claim, if not paid						581	40	581	40	Recording each patent assignment per property (times number of properties)	
109	80	209	40	** Reissue independent claims over original patent						146	710	246	355	Filing a submission after final rejection (37 CFR § 1.129(a))	
110	18	210	9	** Reissue claims in excess of 20 and over original patent						149	710	249	355	For each additional invention to be examined (37 CFR § 1.129(b))	
SUBTOTAL (2)					(\$) 0					179	710	279	355	Request for Continued Examination (RCE)	
**or number previously paid, if greater; For Reissues, see above										169	900	169	900	Request for expedited examination of a design application	
										Other fee (specify) _____					
										*Reduced by Basic Filing Fee Paid					
										SUBTOTAL (0)					
										(\$65.00)					

SUBMITTED BY		Complete (if applicable)	
Name (Print/Type)	Charles M. Doyle, Esq.	Registration No. 39,175	Telephone (914) 681-8851
Signature	Charles M. Doyle	Date	January 25, 2002

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/928,890	08/13/2001	George McDonald	266/067

22249
LYON & LYON LLP
633 WEST FIFTH STREET
SUITE 4700
LOS ANGELES, CA 90071



CONFIRMATION NO. 8163

FORMALITIES LETTER



COPY OF PAPERS
ORIGINALLY FILED

Date Mailed: 11/28/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 65.

A copy of this notice MUST be returned with the reply.

(m)

Customer Service Center
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

02/25/2002 ADSMAN1 00000046 09928890

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